

COMMUNICATION AND CONTROL COMMITTEE

PURPOSE

Navistar Financial has a collegiate body referred to as “Communication and Control Committee”, which addresses issues related to money laundering.

COMPOSITION

Directors	Title	Meeting
José Alfredo Chacón Pérez	Executive President	Monthly
Juan Carlos Navarro Ramos	Chief Operating Officer/Compliance Officer	
Félix Jaime Aguilar	Chief Credit Officer	

FUNCTIONS

1. Submit, for the approval of the Navistar Financial's Audit Committee, this Operation Manual to Prevent, Detect and Report Transactions with Resources of Illegal Origin and Terrorism Financing.
2. Act as the competent body to know the results obtained by the internal audit area of Navistar Financial or by the independent external auditor, as applicable, in respect of the valuation of the effectiveness of the policies, criteria, measures and procedures contained in the Manual, in order to take the necessary actions directed to correct any failures, deficiencies or omissions.
3. Detent the entry into agreements which characteristics may derive into a high Risk for Navistar Financial, according to the reports presented by the Compliance Officer for this purpose, and issue the corresponding recommendations.
4. Determine and communicate the client classification criteria according to their Risk level.
5. Ensure that the automated systems referred to in the Provisions include the lists referred to in fraction X of Provision 30, the List of Politically Exposed Persons, which, according to Provision 58, every Entity must prepare, as well as the list of Specially Designated Nationals.
6. Establish the Operations that must be reported to the Ministry through the Commission as Unusual Transactions or Concerning Internal Transactions, under the terms set forth in the Provisions.
7. Approve training programs for Navistar Financial's employment on issues related to prevention, detection and report of actions, omissions or Transactions in compliance with the provisions set forth in Articles 139 Quáter or 400 Bis of the Federal Criminal Code.
8. Notify the Navistar Financial's corresponding area about any action of directors, officers, employees or attorneys-in-fact, that may lead to a noncompliance with the Provisions or, in the event that such directors, officers, employees or attorneys-in-fact contravene any provisions contained in the policies, criteria, measures and procedures set in this Manual, in order to apply them the corresponding disciplinary measures.
9. Solve any issue submitted for the committee's consideration relative to the application of the Provisions.